

PCT



Docket No.: 480821.00011

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Date of Signature and Deposit: July 28, 2005

Richard T. Roche, Reg. No. 38,599

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: I.D.W. Samuel *et al.*  
Application No. 10/525,687  
Filed: February 22, 2005  
Title: NEUTRAL METALLIC DENDRIMER COMPLEXES  
PCT Appl. No.: PCT/GB2003/003725  
PCT Filing Date: August 28, 2003

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Pursuant to 37 CFR 1.97-1.98, Applicant is submitting a listing of documents on Form PTO-1449. A copy of each document is enclosed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner, be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP § 609.

Respectfully submitted,

Dated: July 28, 2005

By:

Richard T. Roche  
Registration No. 38,599  
Quarles and Brady LLP  
411 East Wisconsin Ave.  
Milwaukee, WI 53202  
(414) 277-5805

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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/525,687		
		Filing Date	02/22/2005		
		First Named Inventor	I.D.W Samuel et al.		
		Art Unit			
		Examiner Name			
Sheet	2	of	3	Attorney Docket Number	480821.00011

OTHER PRIOR ART–NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/CA/		Lo, et al., Green Phosphorescent Dendrimer for Light-Emitting Diodes, Advanced Materials, 2002, Vol. 14, No. 13-14, July 4, pgs. 975-979.	
/CA/		Wang et al., Electroluminescent Diodes from a Single-Component Emitting Layer of Dendritic Macromolecules, Advanced Materials, 1996, Vol. 8, No. 3., pgs. 237-241.	
/CA/		Halim et al., Conjugated Dendrimers for Light-Emitting Diodes: Effect of Generation, Advanced Materials, 1999, Vol. 11, No. 5, pgs. 371-374.	
/CA/		Freeman et al., Dendrimer-Containing Light-Emitting Diodes: Toward Site-Isolation of Chromophores, Advanced Materials, J. Am. Chem. Soc, 2000, Vol. 122, pgs. 12385-12386.	
/CA/		Adronov, et al., Light-harvesting dendrimers, ChemComm, 2000, pgs. 1701-710.	
/CA/		Kwok, et al., Synthesis and Light-Emitting Properties of Difunctional Dendritic Distyrylstilbenes, Macromolecules 2001, Vol. 34, pgs. 6821-6830.	
/CA/		Lupton, et al., Control of Electrophosphorescence in Conjugated Dendrimer Light-Emitting Diodes, Advanced Functional Materials, 2001, Vol. 11, No. 4, August, pgs. 287-294.	
/CA/		Lupton, et al., Control of mobility in molecular organic semiconductors by dendrimer generation, Physical Review B, Volume 63, pgs. 155206-1 - 155206-8, 2001.	
/CA/		Search Report for Great Britain, No. GB 0219987.5, dated 01-23-2003.	
/CA/		Kimura, et al., Energy transfer within ruthenium-cored rigid metallodendrimers, Tetrahedron Letters, Vol. 41 (2000), pgs. 6809-6813.	

Examiner Signature	/Charanjit Aulakh/	Date Considered	08/26/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.  
<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.  
 This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/525,687
				Filing Date	February 22, 2005
				First Named Inventor	I.D.W. Samuel et al.
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	480821.00011
Sheet	3	of	3		

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Examiner Signature	/Charanjit Aulakh/	Date Considered	08/26/2008
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<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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